

# A Network Selection Scheme to Meet Heterogeneous QoS for Massive MTC in SAGIN

Joochan Park  
Computer Science & Engineering  
Hanyang University  
Ansan, South Korea  
1994pjh@hanyang.ac.kr

Jiseung Youn  
Computer Science & Engineering  
Major in Bio-Artificial Intelligence  
Hanyang University  
Ansan, South Korea  
yjs1104@hanyang.ac.kr

Seyoung Ahn  
Computer Science & Engineering  
Major in Bio-Artificial Intelligence  
Hanyang University  
Ansan, South Korea  
tpdud1014@hanyang.ac.kr

Soohyeong Kim  
Computer Science & Engineering  
Major in Bio-Artificial Intelligence  
Hanyang University  
Ansan, South Korea  
dreammusic23@hanyang.ac.kr

Sunghyun Cho  
Computer Science & Engineering  
Major in Bio-Artificial Intelligence  
Hanyang University  
Ansan, South Korea  
chopro@hanyang.ac.kr

**Abstract**—Cellular networks face a significant problem of high preamble collision rates due to numerous machine-type communication devices (MTC) attempting to connect. Moreover, addressing the issue of reducing the collision rate while satisfying various types of Quality-of-Service (QoS) has become even more challenging. A Space-Air-Ground Integrated Network (SAGIN) can be a good solution to reduce the preamble collision rate while meeting the QoS of MTCs. To address this issue, a network selection scheme is proposed in this paper. The network selection scheme consists of a dynamic Access class barring (ACB) value setting phase and a network selection phase. In the dynamic ACB value setting phase, the ACB value is dynamically adjusted based on the strength of the QoS. In the network selection phase, the MTC selects a network to attempt random access from the space network, air network, or ground network based on the configured ACB value. Simulations are performed to demonstrate the performance of the proposed scheme. The experiments confirm that the proposed scheme achieves a higher random access success rate and a lower average preamble transmission cost compared to traditional random access methods.

**Index Terms**—SAGIN, Random Access, Machine-type communication devices, Deadline, Quality-of-service

## I. INTRODUCTION

Mobile communication technology advancements have led to a growing number of devices attempting to connect to cellular networks [1], [2]. The primary reason for the increasing number of devices is the commercialization of Internet of Things (IoT) technology, consisting of large-scale machine-type communication devices (MTCs) [3]. The rapid increase in the number of MTCs poses numerous technical issues for cellular networks. One issue is the frequent occurrence of preamble collisions during the random access [4].

The high preamble collision rate prevents cellular networks from providing normal service due to the following reason [5]. The preamble is used during the initial access process

of user devices, such as MTCs, when they connect to the cellular network. MTCs are unaware of channel state information and timing advanced information before the initial access. Therefore, MTCs require channel estimation and uplink timing synchronization processes to establish a reliable communication link with base stations. MTCs transmit a pre-defined preamble sequence during the initial access process to perform channel estimation and uplink timing synchronization. The number of preamble sequences is finite and determined by the base station. In the case of 5G, if a short preamble sequence is used, 16 preambles are used, while a long preamble sequence uses 64 preambles. Each preamble sequence is created based on the Zadoff-Chu sequence, ensuring that they possess orthogonal characteristics. MTC randomly selects one of the preamble sequences and transmits it to the base station. If multiple MTCs select the same preamble in the same time slot, the preamble collided, preventing the base station from decoding it correctly. It is unable to utilize that preamble for channel estimation and timing synchronization processes since the preamble has collided. The preamble collision rate is proportional to the number of user devices transmitting preamble sequences. In a massive MTC environment, the preamble collision rate increases exponentially. As the collision rate reaches 100 percent, the number of MTCs that successfully perform the initial access diminishes, and in extreme cases, the network can be destroyed [3].

The space-air-ground integrated network (SAGIN) can be a solution to mitigate the high preamble collision rate caused by a large number of MTCs [6], [7]. SAGIN is a next-generation mobile communication network architecture that integrates satellites, unmanned aerial vehicles (UAVs), and terrestrial networks. User devices can access networks with characteristics that are suitable for their quality-of-service

(QoS) with the SAGIN [8]. For example, MTCs in areas where terrestrial networks are unavailable can connect to satellite networks to receive mobile communication services. Furthermore, SAGIN can alleviate the traffic burden on terrestrial networks by distributing the traffic of MTCs to aerial and satellite networks [9]. For instance, in the case of large-scale MTCs that traditionally concentrated their preamble transmissions on terrestrial networks, SAGIN can distribute them among satellite and aerial networks, reducing the preamble collision rate. To mitigate the preamble collision rate by using SAGIN, it is necessary to employ techniques that appropriately distribute the access networks based on the diverse QoS requirements of the MTCs.

This paper proposes a network selection scheme within SAGIN for random access, based on the QoS requirements of the MTC. More specifically, this paper proposes an access class barring (ACB) value configuration technique for MTCs to optimize energy efficiency and meet the deadline requirement. It is important to prevent the excessive concentration of MTCs in any single network within SAGIN and optimize their distribution among the three networks. This paper proposes an ACB-based network selection technique that enables base stations to optimally distribute MTCs among satellite networks, aerial networks, and terrestrial networks.

## II. PROPOSED METHOD

### A. System Model

Figure 1 illustrates the SAGIN system model. The SAGIN consists of three networks: satellite network, aerial network, and ground network. Specifically, low earth orbit (LEO) satellites serve as base stations in the satellite network, while in the aerial network, unmanned aerial vehicles (UAVs) serve as base stations. The base stations in the ground network are defined as traditional cellular network base stations. All networks in SAGIN have  $M$  preamble sequences available. The MTC randomly selects one preamble to transmit from the available  $M$  preambles with a probability of  $\frac{1}{M}$ .

The traffic to be transmitted by MTC has two types of QoS requirements. One is the deadline for successful preamble transmission. The deadline starts when the traffic is generated and ends either when the preamble transmission is successful or when the deadline is reached. It is assumed that the preamble transmission is successful if there are no other MTCs that have sent the same preamble over the same network in the same time slot. If there are one or more other MTCs that have sent the same preamble over the same network in the same time slot, it is assumed that all of those MTCs have experienced a preamble collision, resulting in a failed transmission. MTCs that have experienced a preamble collision will randomly select a preamble again and retransmit it in the next random access time slot. In this paper, it is assumed that there is no back-off process after preamble collision. The maximum deadline that an MTC can have when generating traffic is defined as  $D$  and the remaining deadline at the current is defined as  $d$ .

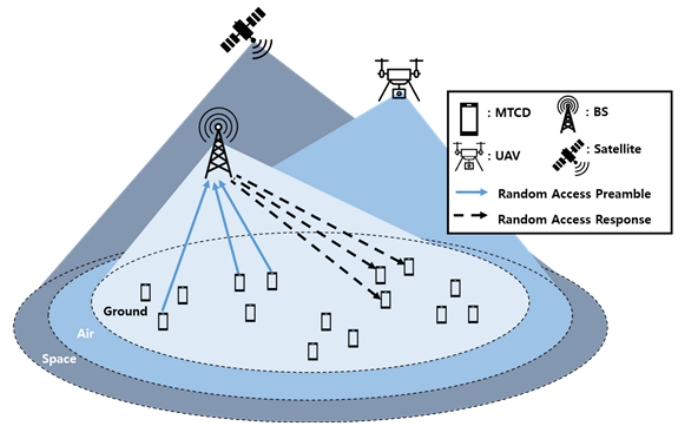


Fig. 1. System Model

The second QoS requirement considered by the MTC is energy efficiency. When an MTC generates traffic to be transmitted, it selects one of the three networks in SAGIN and sends the preamble. The power level of the preamble transmission varies depending on the type of network. When sending the preamble to the ground network, the transmission power is the lowest and the space network has the highest transmission power. Therefore, it is important to encourage MTCs with lower remaining battery levels to transmit the preamble to the ground network rather than the satellite network. This paper assumes that all MTCs have the same battery capacity. The energy level of a fully charged battery is defined as  $B$ , and the current remaining energy level is defined as  $b$ .

### B. Dynamic ACB Value Setting

ACB is a method designed to alleviate congestion on the random access channel in cellular networks [10]. When the random access channel becomes congested, ACB is activated. Once ACB is activated, the base station sets an ACB value between zero and one and broadcasts it to nearby MTCs. If an MTC attempts random access to an ACB-activated base station, it needs to go through an additional process before transmitting the preamble. The MTC generates a random number between zero and one. The MTC compares the generated random number with the ACB value. If the random number is smaller than the ACB value, the MTC remains silent and does not transmit a preamble in that time slot. Conversely, if the random number is equal to or greater than the ACB value, the MTC transmits a preamble to the base station. Therefore, the congestion level of the random access channel can be controlled based on the ACB value. For example, as the base station sets a lower ACB value, the proportion of MTCs transmitting preamble increases inversely. On the other hand, as the base station sets a higher ACB value, the proportion of MTCs not transmitting preamble increases proportionally. If the ACB value is set too low, there is a possibility that the congestion level of the random access channel will not be effectively controlled. Conversely,

if the ACB value is set too high, there is a possibility that a large number of preambles will remain unused. The base station should design an appropriate ACB value considering the congestion level.

In order for all MTCs to meet the QoS requirements, MTCs with stronger requirements should have a higher probability of successful random access. In other words, MTCs with lower remaining battery levels and shorter deadline should be encouraged to have priority in random access. To achieve this, this paper proposes a dynamic ACB value-setting technique that takes into account the QoS requirements of MTCs. Unlike conventional ACB value-setting, in the proposed approach, the MTC itself sets the ACB value instead of the base station. The ACB value designed by the MTC itself should exhibit the following trend: "As the remaining battery level and remaining deadline of the MTC decrease, the ACB value should decrease." The proposed ACB value setting function, denoted as  $f_{ACB}$ , follows the mentioned trend as follows: :

$$f_{ACB} = 1 + \frac{bd}{4BD} - \frac{BD}{(b+B)(d+D)} \quad (1)$$

Equation (1) tends to approach zero as the remaining battery level  $b$  and the remaining deadline  $d$  decrease. Conversely, if either the remaining battery level or the remaining deadline increases, the value of  $f_{ACB}$  increases sharply. Through the trend in equation (1), MTCs with a higher remaining deadline or more remaining battery are more likely to remain silent. This reduces the congestion of random access, allowing MTCs with relatively low remaining battery and imminent deadlines to have a higher probability of successful preamble transmission. Furthermore, this technique does not require the base station to set a uniform ACB value for neighboring MTCs. Instead, MTCs dynamically design their ACB values based on their internal status. Therefore, it does not require additional signaling overhead for performing random access.

### C. Network Selection Algorithm

To alleviate the congestion of the random access channel, it is also important to appropriately distribute MTCs among the networks of SAGIN. The SAGIN has shorter transmission distances in the order of ground network, aerial network, and satellite network. This allows for lower transmission power and shorter propagation delay in the order of ground network, aerial network, and satellite network. Therefore, it is necessary to encourage MTCs with stronger QoS requirements to perform random access in the ground network. To achieve this, base stations assign two ACB values,  $g_{low}$  and  $g_{high}$ , to enable the distribution of MTCs among base stations for random access. MTC performs random access on the ground network if the ACB value set by equation (1) is lower than  $g_{low}$ . Conversely, if the ACB value is higher than  $g_{high}$ , MTC performs random access on the satellite network. If the ACB value does not fall into either of the two cases, MTC performs random access on the aerial network. The

base station dynamically adjusts  $g_{low}$  and  $g_{high}$  based on the number of idle preambles. If the number of idle preambles is below the threshold,  $g_{low}$  and  $g_{high}$  are adaptively decreased. If the number of idle preambles exceeds the threshold,  $g_{low}$  and  $g_{high}$  are increased to increase the utilization of the random access channel. If an MTC successfully transmits a preamble, it is excluded from the preamble contention.

## III. PERFORMANCE EVALUATION

### A. Simulation Environment

In order to evaluate the performance of the proposed scheme, a SAGIN simulator is implemented using Python in this study. The simulation environment is built based on the 3GPP specification [11]. MTCs are activated and transmit preambles during a time duration of one second (1000ms) according to a Beta distribution. The probability density function of the Beta distribution is as follows:

$$P_B(t, \alpha, \beta) = \frac{t^{\alpha-1} \cdot (T-t)^{\beta-1}}{T^{\alpha+\beta-1} \mathcal{B}(\alpha, \beta)} \quad (2)$$

$T$  represents the total number of time slots, and  $t$  represents the current time slot.  $\mathcal{B}(\alpha, \beta)$  is a beta function as  $\int_1^0 t^{\alpha-1} (1-t)^{\beta-1} dt$ . The parameters  $\alpha$  and  $\beta$  are set to three and four, respectively. The initial values for  $g_{low}$  and  $g_{high}$  are set to 0.001 and 0.3, respectively. Additionally, the update step for  $g_{low}$  and  $g_{high}$  after each random access time slot is set to 0.075. The minimum value of the deadline is 10 ms, and the maximum value is 1000 ms. Within the specified range of the deadline, the initial deadline distribution is defined using three distributions: uniform distribution, Weibull distribution, and negative Weibull distribution. The probability density function of the Weibull distribution is given by:

$$P_W(d, x, y) = \left(\frac{x}{y}\right) \left(\frac{d}{y}\right) \exp\left[-\frac{d^x}{y}\right] \quad (3)$$

The values of the parameters  $x$  and  $y$  for the Weibull distribution are one and two, respectively. The uniform distribution selects the initial deadline uniformly from the entire range. The Weibull distribution considers situations where MTCs with smaller initial deadlines are more prevalent compared to the uniform distribution. The negative Weibull distribution considers situations where MTCs with larger initial deadlines are more prevalent compared to the uniform distribution. The performance of the proposed scheme is compared with the random access used in mobile communications [10].

### B. Performance Evaluation

Figure 2 is a graph comparing the random access success rate. The definition of random access success is as follows: if an MTC successfully transmits at least one preamble without collisions, it is considered a random access success. Conversely, if all preambles transmitted by an MTC until the deadline expires result in collisions, it is considered a random access failure. In the case of a small number of MTCs, the proposed ACB value-setting scheme may suppress preamble transmissions and exhibit a slightly lower success rate

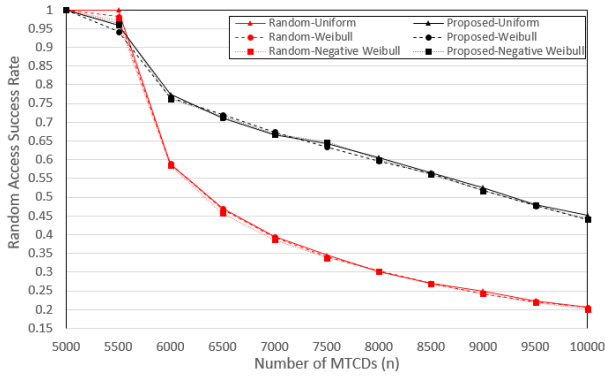


Fig. 2. Random Access Success Rate

compared to traditional methods. As the number of MTCs increases, the proposed scheme adjusts ACB appropriately leading to a higher random access success rate. As mentioned earlier, next-generation mobile communication is expected to involve a vast number of MTCs seeking to connect to cellular networks. Therefore, the proposed technique, as the number of MTCs increases, demonstrates a higher random access success rate compared to traditional random access methods, which is advantageous for providing efficient next-generation mobile communication services.

Figure 3 represents a graph showing the number of preambles transmitted by MTCs. This graph allows us to observe the energy efficiency. There are two cases in which MTCs become deactivated: when they successfully transmit the preamble and when the traffic deadline expires. Similar to Figure 2, in a non-congested random access scenario, the traditional scheme shows a lower average number of preamble transmissions. However, as the number of MTCs increases, it can be observed that the proposed technique exhibits a lower average number of preamble transmissions. As the average number of preambles transmitted until deactivation increases, more energy is allocated to the transmission power. On the other hand, the proposed scheme shows a lower average number of preambles transmitted until deactivation compared to traditional random access methods. Therefore, as the number of MTCs increases, it can be observed that the proposed scheme exhibits improved energy efficiency compared to traditional methods.

#### IV. CONCLUSION

This paper proposes a SAGIN network selection scheme that addresses the random access congestion issue caused by massive MTCs while ensuring various QoS requirements. The proposed approach allows MTCs to dynamically set ACB values based on the QoS requirements. Additionally, MTCs select the appropriate network for random access attempts based on the ACB values. Experimental results demonstrate improved random access success rate and energy efficiency compared to traditional random access techniques. However, this study conducted experiments in an environment where  $g_{low}$ ,  $g_{high}$ , and the update size were fixed. Future research

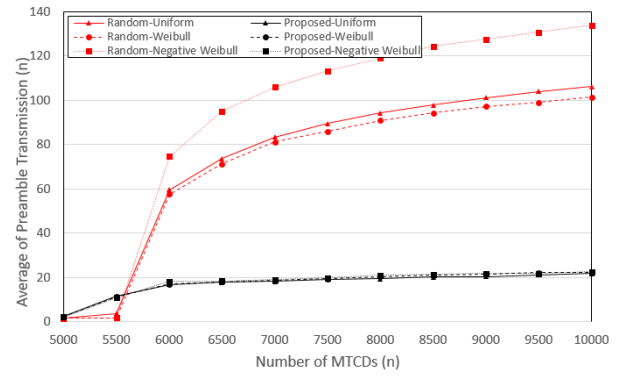


Fig. 3. Average Number of Preamble Transmission

is needed to dynamically set and optimize the values of  $g_{low}$ ,  $g_{high}$ , and the update step. Additionally, extending the system of this study from a single-cell to a multi-cell environment is another future work.

#### ACKNOWLEDGMENT

This work was supported by Korea Research Institute for defense Technology planning and advancement(KRIT) grant funded by the Korea government(DAPA(Defense Acquisition Program Administration)) (No. KRIT-CT-22-021, Space Signal Intelligence Research Laboratory, 2022)

#### REFERENCES

- [1] B. -H. Lee, H. -S. Lee, S. Moon and J. -W. Lee, "Enhanced Random Access for Massive-Machine-Type Communications," in IEEE Internet of Things Journal, vol. 8, no. 8, pp. 7046-7064, 15 April, 2021
- [2] L. Bai, R. Han, J. Liu, J. Choi and W. Zhang, "Relay-Aided Random Access in Space-Air-Ground Integrated Networks," in IEEE Wireless Communications, vol. 27, no. 6, pp. 37-43, December 2020
- [3] J. Youn, J. Park, J. Oh, S. Kim, S. Ahn, S. Cho, S. Park, and C. You, "CeRA-eSP: Code-Expanded Random Access to Enhance Success Probability of Massive MTC," Sensors, vol. 22, no. 20, p. 7959, Oct. 2022
- [4] Y. He and G. Ren, "Cluster-Aided Collision Resolution Random Access in Distributed Massive MIMO Systems," in IEEE Internet of Things Journal, vol. 9, no. 13, pp. 11453-11463, 1 July, 2022
- [5] T. Wang, Y. Wang, C. Wang, Z. Yang and J. Cheng, "Group-Based Random Access and Data Transmission Scheme for Massive MTC Networks," in IEEE Transactions on Communications, vol. 69, no. 12, pp. 8287-8303, Dec. 2021
- [6] J. Park, S. Kim, J. Youn, S. Ahn and S. Cho, "Low-Complexity Data Collection Scheme for UAV Sink Nodes in Cellular IoT Networks," in IEEE Transactions on Vehicular Technology, vol. 70, no. 5, pp. 4865-4879, May 2021
- [7] J. Park et al., "Random Access Protocol for Massive Internet-of-Things Connectivity in Space-Air-Ground Integrated Networks," in IEEE Internet of Things Journal.
- [8] J. Liu, Y. Shi, Z. M. Fadlullah and N. Kato, "Space-Air-Ground Integrated Network: A Survey," in IEEE Communications Surveys & Tutorials, vol. 20, no. 4, pp. 2714-2741, Fourthquarter 2018
- [9] K. Fan, B. Feng, X. Zhang and Q. Zhang, "Network Selection Based on Evolutionary Game and Deep Reinforcement Learning in Space-Air-Ground Integrated Network," in IEEE Transactions on Network Science and Engineering, vol. 9, no. 3, pp. 1802-1812, 1 May-June 2022
- [10] 5G; Service requirements for next generation new services and markets (V15.6.0; 3GPP TS 22.261 version 15.6.0 Release 15), 3GPP Std., 2018.
- [11] 3GPP. Study on RAN Improvements for Machine Type Communications; TR 37.868, V11.0.0; 3rd Generation Partnership Project (3GPP); Sophia Antipolis, France, 2011